

**MENTAL HEALTH SERVICES OF CATAWBA COUNTY  
SERVING BURKE & CATAWBA COUNTIES**

**Service Authorization Request Form**

Date \_\_\_\_\_ Type of Request ☐ Agency Change ☐ Continuing ☐ Decrease ☐ Increase ☐ New ☐ Transfer ☐ Termination

Provider:

Contact Name:

Fax #:

Call Back #:

E-mail:

DSS is Guardian? ☐ Yes ☐ No DJJ will pay? ☐ Yes ☐ No MH Pays Room & Board Only? ☐ Yes ☐ No

Is the request for residential services for a child with private insurance? ☐ Yes ☐ No (Attach insurance denial letter.)

Services to be authorized: **Number of Months?** ☐ 1 ☐ 2 ☐ 3 ☐ Request for entire date range ☐ Request for monthly date range

Service Code	Start Date	End Date	# Units/Hours/Days	Funding Source

Please list the credentials of the person providing the service for rate and billing purposes:

Consumer:

MR#:

DOB:

County of Residence:

Effective Date of Current PCP:

Submitted to LME? ☐ Yes ☐ No

Tx Team Meeting Date:

Attendees/Recommendations:

Target Population(s): AMCS ☐ AMSPM ☐ AMSMI ☐ AMPAT ☐ AMDEF ☐ AMSRE ☐ ASCDR ☐ ASCJO ☐ ASDSS ☐ ASDWI ☐  
ASHMT ☐ ASWOM ☐ ASDHH ☐ ASHOM ☐ ADCS ☐ ADSN ☐ ADMRI ☐ CMCS ☐ CMECD ☐ CMSED ☐ CMMED ☐  
CMDEF ☐ CMPAT ☐ CSMAJ ☐ CSSAD ☐ CSIP ☐ CSSP ☐ CSWOM ☐ CSCJO ☐ CSDWI ☐ CSCS ☐ CDSN

Diagnoses: ☐ See Attached Plan

AXIS I -

AXIS II -

AXIS III -

AXIS IV -

Medications	Dosage & Route	Schedule	Medication Compliant?	Target Symptoms
			<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	

Current GAF Score (on last face to face visit):

NC-SNAP (DD consumers only) Composite Score:

**For LME Use ONLY:**

Days & Specific Hours/Units Authorized Restrictions (i.e. # hours/units/days/weeks/months):

Clinical Authorization:

Date:

Notes:

- *The provider claims responsibility for current and on-going verification of funding source and eligibility.*
- *Authorization does not guarantee payment in the event that eligibility or funding source changes.*
- *Re-authorization supersedes previous authorization.*

**MENTAL HEALTH SERVICES OF CATAWBA COUNTY  
SERVING BURKE & CATAWBA COUNTIES**

MR #:

Consumer Name:

Date:

ASAM Level:

**ASAM Risk Rating for Substance Abuse (if applicable)**

A higher risk rating indicated greater level of severity and/or intensity.

- |   |  |
|---|--|
| 1. Withdrawal/Intoxication <input type="checkbox"/> Lo <input type="checkbox"/> Med <input type="checkbox"/> Hi                   | 4. Readiness for Change (Low Readiness = High Risk) <input type="checkbox"/> Lo <input type="checkbox"/> Med <input type="checkbox"/> Hi |
| 2. Medical Conditions <input type="checkbox"/> Lo <input type="checkbox"/> Med <input type="checkbox"/> Hi                        | 5. Relapse/Continued Use or Problem Potential <input type="checkbox"/> Lo <input type="checkbox"/> Med <input type="checkbox"/> Hi       |
| 3. Behavioral/Emotional/Cognitive Conditions <input type="checkbox"/> Lo <input type="checkbox"/> Med <input type="checkbox"/> Hi | 6. Recovery Environment <input type="checkbox"/> Lo <input type="checkbox"/> Med <input type="checkbox"/> Hi                             |

**Clinical Risk Assessment:**

Risk to Self (SI): ☐ Not present ☐ Ideation ☐ Plan ☐ Means ☐ Assessed for hospitalization ☐ Prior attempt, date:  
Risk to Others (HI): ☐ Not present ☐ Ideation ☐ Plan ☐ Means ☐ Assessed for hospitalization ☐ Prior attempt, date:

Recent Abuse/Neglect/Exploitation: ☐ Yes ☐ No If yes, please describe event(s) & impact:

**Natural/Community Supports:** Please check all strengths/supports that are in place for this consumer:

- ☐ Consumer's family/supports involvement in treatment ☐ Care has been coordinated with other behavioral health providers.  
☐ Care has been coordinated with other medical providers. ☐ A psychiatrist has evaluated the consumer.  
☐ Child Protection ☐ Legal System ☐ Employer ☐ Other:

List specific conditions of cours/DSS, if required to be addressed in treatment (include any commitment orders):

Since last authorization, rate the use of natural supports: ☐ new service request ☐ no change ☐ significantly increased  
☐ significantly decreased ☐ somewhat increased ☐ somewhat decreased

Since last authorization, rate overall functioning: ☐ new service request ☐ no change ☐ significantly increased  
☐ significantly decreased ☐ somewhat increased ☐ somewhat decreased

Clinical Summary: (Include progress since last authorization. Explain clinical outcomes that support medical necessity for continuation, increase or reduction in services.

Expected Results/Outcomes from Treatment: ☐ Hold job ☐ Symptom Free ☐ Manages Meds/Med Compliant ☐ Abstinent  
☐ Function Independently/ADL's Satisfactory ☐ Public School Setting ☐ Return to Home ☐ Independent Living ☐ Resolve Legal Issues  
☐ Other

Discharge/Transition Plan: ☐ Barriers to discharge ☐ Discharge treatment setting not available ☐ Transportation ☐ Legal Matter  
☐ Adequate housing/residence ☐ Community supports not fully developed ☐ Treatment non-compliance ☐ Other

Describe plan to move to a less intensive level of care/services:

6/07